



TD AMERITRADE AND YOU

We are a premier provider of brokerage services to independent financial advisors and their clients

TD **AMERITRADE**
Institutional



YOU HAVE A RELATIONSHIP WITH YOUR ADVISOR YOUR ADVISOR HAS A RELATIONSHIP WITH US

Your advisor has some excellent reasons for choosing TD AMERITRADE. But what does this mean for you?

- Great Value
- Our Commitment
- Our Reputation
- Broad Product Offering
- Security of Your Assets
- Our Technology Platform



WE'LL ALWAYS DELIVER GREAT VALUE

Your financial advisor has selected TD AMERITRADE as a preferred brokerage firm for one very compelling reason: we offer you and your advisor one of the best values in the industry. At every touch point in our relationship with your advisor — from our cutting-edge

technology platform to our world-class client service, from our seamless account integration process to our value-driven pricing — TD AMERITRADE Institutional adds exceptional value to your investment program.

WE ARE FULLY COMMITTED TO YOUR SUCCESS

Our mission is to support the relationship between you and your advisor with the best service, technology and products available.

The aim of TD AMERITRADE is to create time.

Time for advisors to provide you more service. Time for you to enjoy life.

Put simply, we offer among the best service in the industry. Not only do we offer one of the top technology platforms out there, but we offer a wide choice of products to make that technology worthwhile. Above all, we have people dedicated to making your

experience at TD AMERITRADE the best possible. That's why over 4,000 independent advisors have chosen to work with TD AMERITRADE, and offer their clients the kind of service, value, and opportunity that TD AMERITRADE can provide.

This seamless, personal approach to great value and service gives you the time and focus to do what matters most.

Whether you're planning for a better tomorrow. Or simply enjoying today.

OUR REPUTATION SHOULD GIVE YOU CONFIDENCE

As one of the largest brokerage firms in North America, TD AMERITRADE is known for providing a wide range of products and services tailored to independent financial advisors and their clients, including:

- Low commission rates.*
- A wide selection of mutual funds.
- In-depth research provided by Standard & Poor's, Argus, Vickers, Multex, First Call, Market Guide, Ford, and more.
- Excellent back-office support.

That reputation has led over 4,000 independent financial advisors and over 6 million individual investors worldwide to choose TD AMERITRADE for their financial solutions.

*Different commission fees apply to each order entry method. Ask a Sales Consultant for a complete commission schedule.

While deemed reliable, TD AMERITRADE does not guarantee the accuracy or completeness of the information and market data provided by third-party research vendors and makes no warranties with respect to results to be obtained from its use.

OUR BROAD PRODUCT OFFERINGS ALWAYS GIVE YOU CHOICES

TD AMERITRADE delivers:

- Mutual funds — over 13,500 mutual funds your advisor can choose from.
Before investing in any mutual fund, be sure to carefully consider the particular fund's investing objectives, risks, charges and expenses involved. To learn how to obtain a prospectus containing this and other important information, please visit tdameritrade.com or call a TD AMERITRADE representative at 800-431-3500. Please read the prospectus carefully before investing.
- Equities — foreign and domestic stocks, and initial public offerings (IPOs).*
- Fixed-income instruments — a wide variety of bonds, unit investment trusts (UITs), preferred stock, and fixed-rate capital securities.

*Investors should note that small-cap stock and newly issued securities are subject to greater volatility and market risk than securities of larger and well-established companies. International securities are subject to additional risks, such as currency fluctuations, political instability, and potentially illiquid markets.



THE SECURITY OF YOUR ASSETS IS OUR TOP PRIORITY

With TD AMERITRADE Institutional, your money is with one of the world's largest discount brokerage firms, with local branches nationwide. With that comes a commitment to both excellent client service and account information protection. While no security system is absolutely impenetrable, TD AMERITRADE has made substantial investments in leading-edge security software, systems and procedures – and is constantly reviewing, refining and upgrading its infrastructure.

TD AMERITRADE is a member of the Securities Investor Protection Corporation. Securities in your account protected up to \$500,000. A SIPC explanatory brochure is available upon request by calling **800-431-3500** or online at **sipc.org**. Up to an aggregate of \$250 million of additional securities protection, of which \$900,000 may be applied to cash, is provided by London insurers, also limited to a combined return to any client from a Trustee, SIPC and London of \$150 million. This coverage provides you protection against brokerage insolvency and does not protect against loss in market value of the securities. Ask for details.



OUR TECHNOLOGY PLATFORM IS YOUR ADVISOR'S PRIMARY TOOL

We understand your advisor's need for proprietary technology, and for a state-of-the-art platform that streamlines the entire trading and account management process. This matters to you, too. Our platform

provides your advisor with the technology needed to improve efficiencies and better manage your investments — including the ability to place trades, monitor holdings, and access a wealth of research information.

WE'LL ALWAYS KEEP YOU WELL INFORMED

You'll receive a clear, concise statement every month that summarizes all of your portfolio positions — stocks, bonds, mutual funds and cash — including all balances, dividends and transactions. A duplicate monthly statement is also sent to your advisor.



TRUST TD AMERITRADE

TD AMERITRADE and your advisor
are both fully committed to protecting
and growing your assets.

Your advisor is not affiliated with TD AMERITRADE, Inc. and TD AMERITRADE
is not responsible for the advice, information or services they provide to you.



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